AUS 0 2 2004

DOCKET NO. JFW MVMDINC. 045A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

:

van der Burg, et al.

Appl. No.

10/642,384

Filed

August 15, 2003

For

SYSTEM AND METHOD

FOR DELIVERING A LEFT ATRIAL APPENDAGE

CONTAINMENT DEVICE

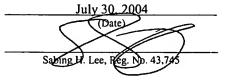
Examiner

Unknown

Group Art Unit:

3762

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on



TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 with one hundred forty-six (146) references, 16 are enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Sabing H. Lee

Registration No. 43,745

Attorney of Record

Customer No. 20,995

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INFORMATION DISCLOSURE STATEMENT

Applicant

van der Burg, et al.

App. No.

10/642,384

Filed

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For

SYSTEM AND METHOD FOR

DELIVERING A

LEFT ATRIAL

APPENDAGE CONTAINMENT DEVICE

Examiner

Unknown

Group Art Unit

3762

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 146 references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of other references, if listed, are enclosed.

This Supplemental Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

By:

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated:

7-30-04

Sabing II. Lee

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY, DOCKET NO.

APPLICATION NO. 10/642,384

INFORMATION DISCLOSURE STATEMENT

BY APPLICANT

APPLICANT van der Burg, et al.

(USE SEVERAL SHEETS IF NECESSARY) FILING DATE 15 August 2003

GROUP 3762

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	3,402,710	9/24/1968	Paleschuck			
	2	3,540,431	11/17/1970	Mobin-Uddin-			
	3	3,638,652	2/1/1972	Kelley			
	4	3,844,302	10/29/1974	Klein			
	5	3,874,388	4/1/1975	King, et al.			
_	6	4,007,743	2/15/1977	Blake			
	7	4,309,776	1/12/1982	Berguer			
	8	4,341,218	7/27/1982	U			
	9	4,603,693	8/5/1986	Conta, et al.			
	10	4,665,906	5/17/1987	Jervis			
	11	4,681,588	7/21/1987	Ketharanathan			
9	12	4,710,192	12/1/1987	Liotta, et al.	<u> </u>		
	13	4,917,089	4/17/1990	Sideris			
	14	4,921,484	5/1/1990	Hillstead			
	15	5,041,090	8/20/1991	Scheglov, et al.			
<u>-</u> -	16	5,042,707	8/27/1991	Taheri			
-	17	5,064,435	11/12/1991	Porter			-
	18	5,071,407	12/10/1991	Termin, et al.			
	19	5,078,736	1/7/1992	Behl			
	20	5,108,418	4/28/1992	Lefebvre			
	21	5,108,420	4/28/1992	Marks			
	22	5,122,136	6/16/1992	Guglielmi, et al.	1 = 1		
	23	5,171,259	12/15/1992	Inoue			
	24	5,176,692	1/5/1993	Wilk, et al.			
	25	5,192,301	3/9/1993	Kamiya, et al.			
	26	5,234,458	8/10/1993	Metais			-
		5,258,000	11/2/1993	Gianturco			
	27	3,230,000	111211333	Gianturo			

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY, DOCKET NO. MVMDINC.045A	APPLICATION NO. 10/642,384
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT	
		van der Burg, et al. FILING DATE 15 August 2003	GROUP 3762
		10 August 2000	0102

				U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	28	5,258,042	11/2/1993	Mehta			•
	29	5,284,488	2/8/1994	Sideris			
	30	5,304,184	4/19/1994	Hathaway, et al.			
	31	5,306,234	4/26/1994	Johnson			
	32	5,334,217	8/2/1994	Das			
	33	5,350,398	9/27/1994	Pavcnik, et al.			
	34	5,350,399	9/27/1994	Erlebacher, et al.			
	35	5,375,612	12/27/1994	Cottenceau, et al.			
	36	5,417,699	5/23/1995	Klein, et al.			
	37	5,425,744	6/20/1995	Fagan, et al.			
	38	5,433,727	7/18/1995	Sideris			
	39	5,443,454	8/22/1995	Tanabe, et al.			
	40	5,443,478	8/22/1995	Purdy			
	41	5,451,235	9/19/1995	Lock, et al.			
	42	5,464,408	11/7/1995	Duc			
	43	5,490,856	2/13/1996	Person, et al.			
	44	5,522,790	6/4/1996	Moll, et al.			
	45	5,522,836	6/4/1996	Palermo			
	46	5,527,322	6/18/1996	Klein, et al.			
	47	5,527,338	6/18/1996	Purdy			
	48	5,614,204	3/25/1997	Cochrum			
	49	5,634,936	6/3/1997	Linden, et al.			
	50	5,643,292	7/1/1997	Hart			
	51	5,669,933	9/23/1997	Simon, et al.			
	52	5,693,067	12/2/1997	Purdy			
· · · · · · · · · · · · · · · · · · ·	53	5,702,421	12/30/1997	Schneidt			
	54	5,709,224	1/20/1998	Behl, et al.			

EXAMINER	DATE CONSIDERED

F	FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MVMDINC.045A	APPLICATION NO. 10/642,384 .
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT van der Burg, et al.	
	(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE 15 August 2003	GROUP 3762

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
WW	55	5,709,707	1/20/1998	Lock, et al.			
	56	5,725,568	3/10/1998	Hastings			
	57	5,733,294	3/31/1998	Forber, et al.			
	58	5,735,290	4/7/1998	Sterman, et al.			
	59	5,749,880	5/12/1998	Banas, et al.			
_	60	5,749,894	5/12/1998	Engelson			
	61	5,766,219	6/16/1998	Horton			
_	62	5,776,097	7/7/1998	Massoud			
	63	5,782,860	7/21/1998	Epstein, et al.			
	64	5,830,228	11/3/1998	Knapp, et al.			
	65	5,836,968	11/17/1998	Simon, et al.			
	66	5,843,118	12/1/1998	Sepetka, et al.			
	67	5,849,005	12/15/1998	Garrison, et al.			
	68	5,853,422	12/29/1998	Huebsch, et al.			
	69	5,865,791	2/2/1999	Whayne, et al.			
	70	5,879,366	3/9/1999	Shaw, et al.			
	71	5,891,558	4/6/1999	Bell, et al.			
	72	5,904,680	5/18/1999	Kordis, et al.			
	73	5,906,207	5/25/1999	Shen			
	74	5,925,074	7/20/1999	Gingras, et al.			
	75	5,925,075	7/20/1999	Myers, et al.			
	76	5,935,148	8/10/1999	Villar, et al.			
	77	6,004,348	12/21/1999	Banas, et al.			
	78	6,007,557	12/28/1999	Ambrisco, et al.			
·	79	6,076,012	6/13/2000	Swanson, et al.			
	80	6,079,414	6/27/2000	Roth			
	81	6,096,053	8/1/2000	Bates			

DATE CONSIDERED
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FORMP	TO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		APPLICATION NO. 10/642,384
INF	DRMATION DISCLOSURE STATEMENT BY APPLICANT	APPLICANT van der Burg, et al.	
(US	E SEVERAL SHEETS IF NECESSARY)	FILING DATE	GROUP 3762

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	82	6,124,523	9/26/2000	Banas, et al.			(,)
	83	6,132,438	10/17/2000	Fleischman, et al.			·
	84	6,139,573	10/31/2000	Sogard, et al.			
	85	6,231,561	5/15/2001	Frazier, et al.			
	86	6,231,589	5/15/2001	Wessman, et al			
	87	6,245,012	6/12/2001	Kleshinski			
-	88	6,270,530	8/7/2001	Eldridge, et al.			
	89	6,270,902	8/7/2001	Tedeschi, et al.			
	90	6,290,674	9/18/2001	Roue, et al.			
•	91	6,290,708	9/18/2001	Kugel, et al.			
	92	6,328,727	12/11/2001	Frazier, et al.	,		
	93	6,368,338	4/9/2002	Konya, et al.			
	94	6,371,971	4/16/2002	Tsugita, et al.			
	95	6,391,044	5/21/2002	Yadav, et al.			· · · · · · · · · · · · · · · · · · ·
	96	6,398,803	6/4/2002	Layne, et al.			
	97	6,402,746	6/11/2002	Whayne, et al.			
	98	6,402,779	6/11/2002	Colone, et al.			
	99	6,419,669	7/16/2002	Frazier, et al.			· · · · · · · · · · · · · · · · · · ·
	100	6,443,972	9/3/2002	Bosma, et al.			
	101	6,468,291	10/22/2002	Bates, et al.			
	102	6,558,405	5/6/2003	McInnes			
	103	6,562,058	5/13/2003	Seguin, et al.			
	104	6,589,251	7/8/03	Yee, et al.			
	105	6,652,555	11/25/03	Van Tassel, et al.			
	106	6,689,150	2/10/04	Van Tassel, et al.			
	107	6,730,108	5/4/04	Van Tassel, et al.			

EXAMINER	DATE CONSIDERED

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MVMDINC.045A	APPLICATION NO. 10/642,384
	DISCLOSURE STATEMENT APPLICANT	APPLICANT van der Burg, et al.	
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE 15 August 2003	GROUP 3762

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	108	US 2001/0020181 A1	9/6/2001	Layne			
	109	US 2001/0034537 A1	10/25/2001	Shaw, et al.			
	110	US 2002/0022860 A1	2/21/2002	Borillo, et al.			
	111	US 2002/0035374 A1	3/21/2002	Borillo, et al.			
	112	US 2002/0045931 A1	4/18/2002	Sogard, et al.			
	113	US 2002/0062133 A1	5/23/2002	Gilson, et al.			
	114	US 2002/0082675 A1	6/27/2002	Myers			
	115	US 2002/0099439 A1	7/25/2002	Schwartz, et al.			
	116	US 2002/0138094 A1	9/26/2002	Borillo, et al.			
	117	US 2002/0138097 A1	9/26/2002	Ostrovsky, et al.			
	118	US 2002/0169457 A1	11/14/2002	Quinn			
	119	US 2002/0178570 A1	12/5/2002	Sogard, et al.			
	120	us 2002/0183787 A1	12/5/2002	Wahr, et al.			
	121	US 2003/0017775 A1	1/23/2003	Sowinski, et al.			
	122	US 2003/0023262 A1	1/30/2003	Welch			
	123	US 2003/0023266 A1	1/30/2003	Borillo, et al.			
	124	US 2003/0028213 A1	2/6/2003	Thill, et al.			
	125	US 2003/0057156 A1	3/27/2003	Peterson, et al.			
		US 2003/0060871 A1	3/27/2003	Hill, et al.			
		US 2003/0120337 A1	6/26/2003	Van Tassel, et al.			
	.20	US 2003/0181942 A1	9/25/03	Sutton, et al.			
	120	US 2003/0191526 A1	10/9/2003	Van Tassel, et al.			
		US 2004/0049210 A1	3/11/04	Van Tassel, et al.			

DATE CONSIDERED

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MVMDINC.045A	APPLICATION NO. 10/642,384
В	DISCLOSURE STATEMENT Y APPLICANT	APPLICANT van der Burg, et al.	
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE 15 August 2003	GROUP 3762

FOREIGN PATENT DOCUMENTS								
EXAMINER		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
INITIAL							YES	МО
	131	WO 95/04132	2/9/1995					
	132	WO 96/01591	1/25/1996					
	133	WO 96/40356	12/19/1996					
	134	WO 98/27868	7/2/1998	· · · · · · · · · · · · · · · · · · ·			•	<u> </u>
	135	WO 99/23976	5/20/1999					
	136	WO 99/25252	5/27/1999					
	137	WO 00/16705	3/30/2000		-			
	138	WO 00/67669	11/16/2000					
	139	WO 01/08743 A1	2/8/2001					
	140	WO 01/30267 A1	5/3/2001					
	141	WO 01/30268 A1	5/3/2001	· · · · · · · · · · · · · · · · · · ·				
	142	WO 02/071977 A2	9/19/2002					<u> </u>
	143	WO 02/15793 A2	2/28/2002					
	144	WO 02/24106 A2	3/28/2002		-			
	145	WO 03/007825 A1	1/30/2003					
	146	WO 03/008030 A2	1/30/2003	W				
	147							

EXAMINER INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	148	
	149	
-	150	
	151	
	152	

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